

Year 2021-22

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Audit & Compliance Committee
June 9, 2022**

A meeting of the Audit & Compliance Committee of the Board of Regents was held on Thursday, June 9, 2022, at 8:00 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Mike Kenyanya, presiding; Mary Davenport, Darrin Rosha, Bo Thao-Urabe, Steve Sviggum, and Kodi Verhalen.

Staff present: Chancellor Lori Carrell; Acting Chancellor Janet Schrunk Ericksen, Executive Vice President and Provost Rachel Croson; Executive Director Brian Steeves; and Chief Auditor Quinn Gaalswyk.

Student Representatives present: Seah Buttar and Riley Tuft.

The docket materials for this meeting are available [here](#).

INTERNAL AUDIT UPDATE

Regent Kenyanya invited Chief Auditor Gaalswyk to present the Internal Audit update, as detailed in the docket.

The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

FY 2023 INTERNAL AUDIT PLAN

Regent Kenyanya invited

Year 2021-22

UNIVERSITY OF MINNESOTA
BOARD OF REGENTS

Litigation Review Committee
June 9, 2022

A meeting of the Litigation Review Committee of the Board of Regents was held Thursday, June 9, 2022, at 8:00 am. in the Hail! Minnesota Conference Room, 600 McNamara Alumni Center

Regents present Douglas Huebsch presiding; James Farnsworth, Janie Mayeron, David McMillan, and Kendall Powell.

Staff present

The meeting adjourned at 9:03 a.m.

A handwritten signature in black ink, appearing to read "Brian R. Steeves", is written over a horizontal bar with a pink-to-teal gradient background.

BRIAN R. STEEVES
Executive Director and
Corporate Secretary

UNIVERSITY OF MINNESOTA
BOARD OF REGENTS

Finance & Operations Committee
June 9, 2022

A meeting of the Finance & Operations Committee of the Board of Regents was held on Thursday, June 9, 2022 at 9:15 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present David McMillan, presiding; Mary Davenport, James Farnsworth, Douglas Huebsch, Ruth Johnson, Mike Kenyanyan, Janie Mayeron, Kendall Powell, Darrin Rosha, Steven Sviggum, Bo Thao-Urabe, Kenneth

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PRESIDENT'S RECOMMENDED FY 2023 ANNUAL CAPITAL IMPROVEMENT BUDGET

Regent McMillan

presented

the

President's

Recommended

FY

2023

PRESIDENT'S RECOMMENDED FY 2023 ANNUAL OPERATING BUDGET

Regent McMillan invited President Gabel, Senior Vice President Frank and Vice President Tonnesen to review the President's recommended FY 2023 Annual Operating Budget, as detailed in the docket.

The docket materials for this item begin on page 33. The closed captioned video of this item is [available here](#)

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A motion was made and seconded

The docket materials for this item begin on page 460. The closed captioned video of this item is [available here](#)

A motion was made and seconded to recommend approval of the real estate transaction.

Regent Rosha requested a roll call vote. The vote was as follows:

Regent Davenport	Yes
Regent Farnsworth	Yes
Regent Huebsch	Yes
Regent Johnson	Yes
Regent Kenya	Yes
Regent Powell	Yes
Regent Rosha	Yes
Regent Sviggum	Yes
Regent ThaeUrabe	Yes
Regent Verhalen	Yes
Regent Mayeron	Absent
Regent McMillan	Yes

On a vote of 11-0, the motion to recommend approval of the twelve-year lease at 150 Broadway Avenue S, Rochester (Rochester campus) was approved¹

MPACT 2025 CAPITAL FINANCING PROGRAM

Regent McMillan invited Senior Vice President Frans Associate Vice President Volna, and Associate Vice President Mason to review the program.

RegentMcMillan invited President Gabel and Senior Vice President Frates to present the Consent Report, as detailed in the docket.

The docket materials for this item begin on page 485. The closed captioned video of this item is [available here](#)

Central Reserves General Contingency Allocations

Purchase of Goods and Services \$1,000,000 and Over

x

Real Estate Transactions

- x Transitway Agreement with Minnesota State Fair

UNIVERSITY OF MINNESOTA
BOARD OF REGENTS

Mission Fulfillment Committee
June 9, 2022

A meeting of the Mission Fulfillment Committee of the Board of Regents was held Thursday, June 9, 2022, at 2:00 p.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present Mary Davenport, presiding James Farnsworth, Doug Huebsch, Ruth Johnson, Mike Kenyanya, David McMillan, Kendall Powell, Darrin Rosh, Steve Sviggum, Bo Thao, Urabe, and Kodi Verhalen.

Staff present: President Joan Gabel; Chancellors Lendley Blair, Lori Carrell, and Mary Holz, Clause Acting Chancellor Janet Schrunk Erickson, Executive Vice President and Provost Rachel Croson; Vice Presidents Michael Goh and Calvin Phillips, General Counsel Douglas Peterson, Acting Executive Director Sarah Dirksen, and Chief Auditor Quinn Gaalswyk.

Student Representatives present Gurtaran Johal and Cal Mergendahl.

The docket materials for this meeting are [available here](#)

BOARD OF REGENTS POLICY: STUDENT CONDUCT CODE

Regent Davenport invited STRATEGY.7vo (n)-6.1 91.7 (nvi).8 npor DISTRIBUTED LEARNING

port invited Executive Vice President and Provost Croson along with Mary Holz- Executive Chancellor of the Crookston campus Amy Pittenger, Faculty Liaison for on Collaborations and Tadd Johnson, Senior Director of American Indian Tribal ons and Professor of American Indian Studies on the Duluth campus to discuss rning strategy, as detailed in the docket

materials for this item begin on page 6. The closed captioned video of this item is

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Year 2021-22

UNIVERSITY OF MINNESOTA
BOARD OF REGENTS

Governance & Policy Committee

June 10, 2022 Committee of the Board of Regents was held on Friday
10, 2022, at 8:00 a.m. in the Boardroom, 600 McNamara Alumni Center

Regents present: Kodi Verhalen, presiding; Mary Davenport, James Farnsworth, Douglas
Ruth Johnson, Mike Kenyanya, Janie Mayeron, David McMillan, Kendall Powell, Darrin Rosh
Sviggum, and Bo Thawabe.

Staff present: Chancellor Lendley Black, Acting Chancellor Janet Schrunk Erickson, Senior Vice
President Myron Frans, Vice Presidents Michael Goh and Kenneth Horstman, General Counsel
Douglas Peterson, Acting Executive Director Sarah Dirksen, and Chief Auditor Quinn Gaals

The docket materials for this meeting are [available here](#)

BOARD OF REGENTS POLICY: CODE OF CONDUCT FOR MEMBERS
OF THE BOARD OF REGENTS—ANNUAL REVIEW

Regent Verhalen invited Acting Executive Director Dirksen and General Counsel Peterson to
provide the annual review of

Year 2021-22

UNIVERSITY OF MINNESOTA
BOARD OF REGENTS

Board of Regents
June 10, 2022

A meeting of the Board of Regents of the University of Minnesota was held Friday, June 10, 2022, at 9:05 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Kendall Powell, presiding; Mary Davenport, James Farnsworth, Douglas Huebsch, Ruth Johnson, Mike Kenya, Janie Mayeron, David McMillan, Darrin Rosha, Steven Svigg, Thao-Urabe, and Kodi Verhalen.

Staff present: President Joan Gabel, Chancellors Lendley Black and Lori Carrell; Acting Chancellor Janet Schruck Ericksen, Executive Vice President and Provost Rachel Cross, Senior Vice President Myron Frans, Vice Presidents Michael Berthelsen and Michael Goh,

MONTHLY MEETING

NATIVE AMERICAN AFFAIRS UPDATE

McMillan reported that the committee voted to recommend approval of the resolution related to the FY 2023 Annual Operating Budget. The resolution is as follows:

WHEREAS, the University of Minnesota (University) as the state's public, land grant university is charged with the responsibility to pursue know.3 (s(e)0.6-2.3 (s)-2.3yb.6 (r)-2.3 (()-0.6)0.6-2.3t

Regent ThaeUrabe	Yes
Regent Verhalen	Yes
Regent Powell	Yes

On a vote of 10-1, the resolution related to the

The committee docket materials can be found [here](#). The closed-captioned video of this item is [available here](#)

- 1) Adoption of proposed amendments to Board of Regents Policy Student Conduct Code as follows:

SECTION I. SCOPE AND PURPOSE.

This policy applies to all students and student groups at the University of Minnesota (University), whether or not the University is in session. The purpose of the Student Conduct Code is to convey behavioral expectations that embody the University's learning mission while supporting students' individual career and life goals. The student conduct process is primarily educational, while also serving to protect the University's unique learning environment and the safety and success of the larger campus communities.

SECTION II. GUIDING PRINCIPLES.

- (a) The University seeks an environment that promotes academic achievement and integrity, that is protective of free inquiry, and that serves the educational mission of the University.
- (b) As stated in Board of Regents Policy Equity, Diversity, Equal Opportunity, and Affirmative Action, the University shall establish and nurture an environment for faculty, staff, students, and visitors that actively acknowledges and values equity and diversity and is free from racism, sexism, ageism, homophobia, and other forms of prejudice, intolerance, or harassment.
- (c) The University seeks a community that is free from violence, threats, and intimidation; that is respectful of the rights, opportunities, and welfare of students, faculty, staff, and guests of the University; and that does not threaten the health or safety of members of the University community.
- (d) The University is dedicated to responsible stewardship of its resources and to protecting its property and resources from theft, damage, destruction, or misuse.
- (e) The University supports and is guided by state and federal law while also setting its own standards of conduct for its academic community
- (f) The University is dedicated to the fair and equitable resolution of conflict at the lowest level possible.
- (g) The University is committed to safeguarding the free expression rights of all University community members and will strive to engage in student development conversations when messages are contrary to the University values of equity, diversity, and inclusion. Students are entitled to the rights and responsibilities of other citizens with regard to freedom of speech, peaceable assembly, and the right to petition. Students are entitled to exercise their rights to inquire and dissent, speak freely, and peaceably assemble and protest to the extent permissible under both the First Amendment of the United States Constitution and the Student Conduct Code
- (h) Students are entitled to due process and procedural fairness protections, including the prompt notification of charges, the opportunity to respond, the right to an advocate of choice, and the right to the resolution of a case within a reasonable period of time.

SECTION III. DEFINITIONS.

approved for the specific course in question; taking, acquiring, or using course materials without faculty permission, including the posting of faculty-provided course materials on online learning support and testing platforms; submitting false or incomplete

Subd. 8. Bullying.

Bullying means aggressive behavior directed at another person that causes stress or harm and that is repeated over time, including but not limited to assaulting, defaming, terrorizing, making obscene gestures, or invading privacy.

Subd. 9. Sexual Harassment, Sexual Assault, Stalking, and Relationship Violence.

These prohibited behaviors are defined in Board of Regents Policy Sexual Harassment, Sexual Assault, Stalking and Relationship Violence and the related administrative policy.

Subd. 10. Illegal or Unauthorized Possession or Use of Weapons.

Illegal or unauthorized possession or use of weapons means possessing or using weapons or articles or substances usable as weapons, including, but not limited to, firearms, incendiary devices, explosives, and dangerous biological or chemical agents, except in those instances when authorized by law and, where applicable, by University policy.

Subd. 11. Illegal or Unauthorized Possession or Use of Drugs or Alcohol.

Illegal or unauthorized possession or use of drugs or alcohol means possessing or using drugs or alcohol illegally or, where applicable, without proper University authorization.

Subd. 12. Providing Alcohol to Minors.

Providing alcohol to minors means directly or indirectly providing alcohol to anyone under the legal drinking age.

Subd. 13. Theft, Property Damage, or Vandalism.

Theft, property damage, or vandalism means theft or embezzlement of, damage to, destruction of, unauthorized possession of, or wrongful sale or gift of property.

Subd. 14. Hazing.

Hazing means any behavior or activity that endangers the health or safety of an individual (including, without limitation, an act intended to cause personal degradation or humiliation), for the purpose of initiation in, admission to, affiliation with, or as a condition for continued membership in a student group or University athletic team, regardless of the individual's willingness to participate.

Prohibited Behaviors Interfering with University and Community Operations:

Subd. 15. Disruption of Academic Environment.

Disruption of the academic environment means engaging in behavior that substantially or repeatedly interrupts either the instructor's ability to teach and/or a student's ability to learn.

Subd. 16. Falsification.

Falsification means willfully providing University offices or officials with false, misleading, or incomplete information; forging or altering without proper authorization official University records or documents or conspiring with or inducing others to forge or alter without proper authorization University records or documents; misusing, altering, forging, falsifying, or transferring to another person University issued identification; or intentionally making a false report of a bomb, fire, natural disaster, or other emergency to a University official or an emergency service agency.

Subd. 4. Required Compliance.

Required compliance means satisfying University requirements, work assignments, community service, participating in a restorative justice process or other discretionary assignments.

Subd. 5. Confiscation.

Confiscation means confiscation of goods used or possessed in violation of University regulations or confiscation of falsified identification or identification wrongly used.

Subd. 6. Restitution.

Restitution means making compensation for loss, injury, or damage.

Subd. 7. Restriction of Privileges.

Restriction of privileges means the denial or restriction of specified privileges, including, but not limited to, building access or service access.

Subd. 8. University Housing Suspension.

president or delegate shall ensure that each campus has a hearing process that includes the following:

- (a) notification of the report and a request to meet;
- (b) meeting to learn more about the steps of the student conduct process and to

Subd. 2.

The Student Conduct Code shall apply to student and student group conduct that directly

Amended: December 13, 1974; March 11, 1994; June 13, 2003; December 8, 2006;
October 12, 2012; June 10, 2016; October 13, 2017; June 10, 2022

Technical Correction: July 8, 2015; May 24, 2018

Last Comprehensive Review 2022

Supersedes Existing Disciplinary Appeals Policies in Contradiction and Specifically
Repeals the Appeals Policies dated February 9, 1979.

- 2) Approval of the Consent Rep66 (tr)-5.medo

Farnsworth requested a roll call vote.

Regent Verhalen moved to refer the resolution to the Governance & Policy Committee. There was a second.

The Board voted unanimously to refer the resolution to the Governance & Policy Committee.
The meeting adjourned at 1:30 a.m.

SARAH F. DIRKSEN
Acting Executive Director and