Year 2020-21

UNIVERSITY OF MINNESOTA
BOARD OF REGENTS

Litigation Review Committee
September 10, 2020

A meeting of the Litigation Review Committee of the Board of Regents was held on Thursday, September 10, 2020 at 8:00 a.m. by videoconference.

Regents present: Richard Beeson, presiding; Thomas Anderson, Janie Mayeron, David McMillan, and Randy Simonson.

Staff present: General Counsel Douglas Peterson and Executive Director Brian Steevesdoit Excall.

The docket materials for this meeting are available here.

RESOLUTION TO CONDUCT NON-PUBLIC MEETING
OF THE LITIGATION REVIEW COMMITTEE

The meeting convened in public session at 8:02 a.m. A motion was made and seconded that the following resolution be adopted:

WHEREAS, based on advice of the General Counsel, the Board of Regents Litigation Review Committee has balanced the purposes served by the Open Meeting Law and by the attorney

_____.

EXTERNAL QUALITY ASSURANCE REVIEW RECOMMENDATION FOLLOW UP

Regent Rosha invited Chief Auditor Klatt to discuss recommendations from the external quality assurance review of Internal Audit, as detailed in the docket.

The docket materials for this item begin on page 8. The closed captioned video of this item is available here.

FY 2021 INTERNAL AUDIT PLAN UPDATE

Regent Rosha invited Chief Auditor Klatt to provide an update on the fiscal year 2021 Internal Audit Plan, as detailed in the docket.

The docket materials for this item begin on page 18. The closed captioned video of this item is available her3.i

A meeting of the Audit & Compliance Committee of the Board of Re
September 10, 2020 at 8:00 a.m. The meeting was held in a hybrid

CARES ACT FUNDING OVERVIEW

Regent Rosha invited Controller Sue Paulson to provide an overview of the University's distribution of funding received from the Federal CARES Act, as detailed in the docket.

The docket materials for this item begin on page 30

UNIVERSITY OF MINNESOTA
BOARD OF REGENTS

Finance & Operations Committee
September 10, 2020

A meeting of the Finance & Operations Committee of the Board of Regents was held on Thursday, September 10, 2020 at 9:30 a.m. The meeting was held in a hybrid format with some participants in the Boardroom, 600 McNamara Alumni Center, and others connected by videoconference.

Regents present: David McMillan, presiding; Thomas Anderson, Richard Beeson, Mary Davenport, Michael Hsu, Mike Kenyanya, Janie Mayeron, Kendall Powell, Darrin Rosha, Randy Simonson, and Steve Sviggum.

Staff present: President Joan Gabel; Executive Vice President and Provost Rachel Croson; Interim Senior Vice President Julie Tonneson; Vice President Michael Berthelsen; Interim Vice President Kenneth Horstman; General Counsel Douglas Peterson; Executive Director Brian Steeves; and Associate Vice President Michael Volna.

PRESIDENT'S RECOMMENDED FY 2022-23 BIENNIAL BUDGET REQUEST

Regent McMillan invited President Gabel and Interim Senior Vice President Tonneson to present for review the President's recommended FY 2022-23 Biennial Budget Request, as detailed in the docket.

The docket materials for this item begin on page 54. The closed captioned video of this item is available here.

HR ANALYTICS PART 2

Regent McMillan invited President Gabel, Interim Vice President Horstman, Assistant Vice President Lincoln Kallsen, Amy Kucera, Senior Director, Office of Human Resources, and Phil Klein, Director, Office of Human Resources, to discuss HR analytics, as detailed in the docket.

The docket materials for this item begin on page 71. The closed captioned video of this item is available here.

McMillan recessed the meeting at 11:10 a.m.

RESOLUTION RELATED TO DINING SERVICES CONTRACT EXTENSEO Resources

Regent Mayeron requested that the item be converted from review to review and action. There was no objection.

A motion was made and seconded to recommend approval of the consolidation of Board of Regents Policies: Selection of Design Professionals & Wage Rates for Contractors.

The vote was as follows:

Regent Anderson	Yes
Regent Beeson	Yes
Regent Davenport	Yes
Regent Her	Absent
Regent Hsu	Yes
Regent Kenyanya	Yes
Regent Mayeron	Yes
Regent Powell	Yes
Regent Rosha	Yes
Regent Simonson	Yes
Regent Sviggum	Yes
Regent McMillan	Yes

The committee voted unanimously to recommend approval of the consolidation of Board of Regents Policies: Selection of Design Professionals & Wage Rates for Contractors.

Regent Davenport left the meeting.

CONSENT REPORT

Interim Senior Vice President Tonneson presented the Consent Report, as detailed in the docket.

The docket materials for this item begin on page 100. The closed captioned video of this item is available here.

Regent McMillan announced that the committee would consider the employment agreement and appointment of Myron Frans as Senior Vice President for Finance and Operations as a separate item.

The committee considered the remaining items in the revised Consent Report as follows:

General Contingency:

There were no items requiring approval this period.

Purchase of Goods and Services $1,000,000 and Over:

To Envigo for an estimated $1,514,000 for corn cob animal bedding as needed for Research Animal Resources (RAR) for the period of July 1, 2020 through June 30, 2025. The cost of this bedding is included in the RAR FY21 annual budget and will be purchased with Departmental funds. Envigo, a new provider, was selected as the result of a competitive Request for Proposal (RFP) process conducted by Purchasing Services. One supplier

Regent Rosha	Yes
Regent Simonson	Yes
Regent Sviggum	Yes
Regent McMillan	Yes

The committee voted unanimously to recommend approval of the remaining items of the Consent Report.

Regent Davenport returned to the meeting.

McMillan invited President Gabel to present the employment agreement and appointment of Myron Frans as Senior Vice President for Finance and Operations. A motion was made and seconded to recommend approval of the appointment and employment agreement.

The vote was as follows:

Regent Anderson	Yes
Regent Beeson	Yes
Regent Davenport	Yes
Regent Her	Absent
Regent Hsu	No
Regent Kenyanya	No
Regent Mayeron	Yes
Regent Powell	Yes
Regent Rosha	No
Regent Simonson	Yes
Regent Sviggum	Yes
Regent McMillan	Yes

Eight votes were cast in favor of the motion and three votes were cast against the motion. The motion to recommend approval of the appointment and employment agreement of Myron Frans as Senior Vice President for Finance and Operations passed.

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Year 2020-21

UNIVERSITY OF MINNESOTA
BOARD OF REGENTS

Mission Fulfillment Committee
September 10, 2020

Bachelor of Business Analytics (B.B.A.) degree and undergraduate minor in Marketing Analytics.

Request for Conferral of Tenure for Outside Hires

Yibin Deng, professor with tenure (internal), Department of Urology, Medical School
Laura Stone, professor with tenure, Department of Anesthesiology, Medical School

A motion was made and seconded to approve the Consent Report.

The vote was as follows:

Regent Beeson	Yes
Regent Davenport	Absent
Regent Her	Yes
Regent Hsu	Yes
Regent Kenyanya	Yes
Regent Mayeron	Yes
Regent McMillan	Yes
Regent Powell	Yes
Regent Rosha	Yes
Regent Simonson	Yes
Regent Sviggum	Yes
Regent Anderson	Yes

The committee voted unanimously to approve the Consent Report.

INFORMATION ITEMS

Executive Vice President and Provost Croson referred the committee to the information items in the docket.

The docket materials for this item begin on page 54. The closed captioned video of this item is available here.

The meeting adjourned at 4:10 p.m.

UNIVERSITY OF MINNESOTA
BOARD OF REGENTS

Governance & Policy Committee
September 11, 2020

A meeting of the Governance & Policy Committee of the Board of Regents was held on Friday, September 11, 2020 at 8:00 a.m. The meeting was held in a hybrid format with some participants in the Boardroom, 600 McNamara Alumni Center, and others connected by videoconference.

Regents present: Janie Mayeron, presiding; Thomas Anderson, Richard Beeson, Mary Davenport, Kao Ly Ilean Her, Michael Hsu, Mike Kenyanya, David McMillan, Kendall Powell, Darrin Rosha, Randy Simonson, and Steve Sviggum.

Staff present: President Joan Gabel; General Counsel Douglas Peterson; and Executive Director Brian Steeves.

The docket materials for this meeting are available here.

2020-21 COMMITTEE WORK PLAN

Regent Mayeron and Executive Director Steeves reviewed the 2020-21 Committee Work Plan, as detailed in the docket.

The docket materials for this item begin on page 3. The closed captioned video of this item is available here.

BOARD OF REGENTS POLICY: *PROTECTION OF INDIVIDUAL HEALTH INFORMATION*

Regent Mayeron invited Kaley Klanica, Senior Associate General Counsel, and Lori Ketola, Chief Health Information Compliance Officer and Director, Health Information Privacy & Compliance, to present for review proposed amendments to Board of Regents Policy: Protection of Individual Health Information, as detailed in the docket.

The docket materials for this item begin on page 6. The closed captioned video of this item is available here.

BOARD INPUT INTO THE REGENT SELECTION PROCESS

Regent Mayeron invited Executive Director Steeves to facilitate a committee discussion to provide input into the Regent selection process, as detailed in the docket.

The docket materials for this item begin on page 9. The closed captioned video of this item is available here.

INFORMATION ITEMS

Executive Director Steeves referred the committee to the information items in the docket:

Completed Comprehensive Review of Board Policy

The docket materials for this item begin on page 13. The closed captioned video of this item is available here.

The meeting adjourned at 8:59 a.m.

UNIVERSITY OF MINNESOTA
BOARD OF REGENTS

Board of Regents
September 11, 2020

A meeting of the Board of Regents of the University of Minnesota was held on Friday, September 11, 2020 at 9:19 a.m. The meeting was held in a hybrid format with some participants in the Boardroom, 600 McNamara Alumni Center, and others connected by videoconference.

Regents present: Kendall Powell, presiding; Thomas Anderson, Richard Beeson, Mary Davenport, Kao Ly Ilean Her, Michael Hsu, Mike Kenyanya, Janie Mayeron, David McMillan, Darrin Rosha, Randy Simonson, and Steven Sviggum.

Staff present: President Joan Gabel; Vice Presidents Michael Goh and Jakub Tolar; Interim Vice President Kenneth Horstman; General Counsel Douglas Peterson; Executive Director Brian Steeves; and Associate Vice Presidents Julie Showers and Julie Tonneson.

In accordance with Minnesota Open Meeting Law, all votes during this meeting were taken by roll call.

The docket materials for this meeting are available here.

Regent Her	Yes
Regent Hsu	Yes
Regent Kenyanya	Yes
Regent Mayeron	Yes

UPDATE ON UMTC INTERCOLLEGIATE ATHLETICS FINANCES & RESOLUTIONS RELATED TO PERSONNEL COST SAVINGS AND ELIMINATION OF SELECT SPORTS

Regent Powell invited

SECTION III. WAGE RATES FOR CONTRACTORS.

All projects contracted for by the University shall comply with the prevailing wage requirements of Minnesota State Statutes § 177.41-177.43. This requirement shall apply regardless of the source of funding.

Adopted: September 11, 2020, Supersedes: Selection of Design Professionals, dated July 14, 1995; and Wage Rates for Contractors, dated April 7, 1995, Last Comprehensive Review: September 2020.

The vote was as follows:

Regent Anderson	Yes
Regent Beeson	Yes
Regent Davenport	Yes
Regent Her	Yes
Regent Hsu	Yes
Regent Kenyanya	Yes
Regent Mayeron	Yes
Regent McMillan	Yes
Regent Rosha	Yes
Regent Simonson	Yes
Regent Sviggum	Yes
Regent Powell	Yes

The Board voted unanimously to approve the consolidation of Board of Regents policies: Selection of Design Professionals & Wage Rates for Contractors.

2) Approval of the Consent Report for the Finance & Operations Committee as presented to the committee and described in the September 10, 2020 committee minutes. The committee docket materials for this item can be found on page 100. The item on approval of the employment agreement for, and appointment of, Myron Frans as Senior Vice President for Finance and Operations was separated from the Consent Report.

A motion was made and the vote was as follows:

Regent Anderson	Yes
Regent Beeson	Yes
Regent Davenport	Yes
Regent Her	Yes
Regent Hsu	Yes
Regent Kenyanya	Yes
Regent Mayeron	Yes
Regent McMillan	Yes
Regent Rosha	Yes
Regent Simonson	Yes
Regent Sviggum	Yes
Regent Powell	Yes

The Board voted unanimously to approve the Consent Report.

3) Approval of the employment agreement for, and appointment of, Myron Frans as Senior Vice President for Finance and Operations. The committee docket materials for this item can be found on page 100.

   A motion was made and the vote was as follows:

REPORT OF THE GOVERNANCE & POLICY COMMITTEE

Regent Mayeron, chair of the committee, reported that the committee did not take action on any items this month.

The committee docket materials can be found here. The closed captioned video of this item is available here.

NEW BUSINESS

Regent Rosha introduced a resolution related to amendments to the Board's urgent approval authority. After discussion, Regent Powell suggested that the resolution be brought for review at the October meeting of the Governance & Policy Committee. Rosha accepted the suggestion, and withdrew the resolution from consideration in New Business.

The closed captioned video of this item is available here.

Regent Hsu moved a resolution to provide up to 25 rooms to fraternities and sororities for COVID-19 isolation and quarantine, with the associated costs to be covered by those organizations. There was no second, and the motion failed.

The closed captioned video of this item is available here.

Regent Hsu moved a resolution related to Big Ten Fall 2020 Sports Competition, as follows:

> WHEREAS, the University of Minnesota became a charter member of the modern day Big Ten Athletic Conference at the direction of the Board of Regents nearly 125 years ago and has a proud history competing in the Big Ten; and
>
> WHEREAS, fall sports, namely football ge5Ctball 4.6 (o)-0.6 (fall gl (all g) (r)6 s)-3.8 e1.2 ()1. Td((,5 (1)11c