

A meeting of the Audit & Compliance Committee of the Board of Regents was held on Thursday, May 7, 2020 at 9:00 a.m. by videoconference.

Regents present: Darrin Rosha, presiding; Mary Davenport, Michael Hsu, Mike Kenya, Kendall Powell, and Steve Sviggum.

Staff present: President Joan Gabel; Executive Vice President and Provost Rachel Croson; Executive Director Brian Steeves; Chief Auditor Gail Klatt; and Associate Vice President Michael Volna.

Student Representatives present: Eleora Demuth and Brandon King.

The docket materials for this meeting are [available here](#).

Regent Rosha invited Chief Auditor Klatt; Boyd Kumher, Chief Compliance Officer; Michael Volna, Associate Vice President and Assistant CFO; and Sue Paulson, Controller, to discuss preliminary audit, compliance, and internal control implications of the COVID-19 pandemic, as detailed in the docket.

The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

Regent Rosha invited Chief Auditor Klatt to provide the Internal Audit update, as detailed in the docket.

The docket materials for this item begin on page 19. The closed captioned video of this item is [available here](#).

The meeting adjourned at 8:59 a.m.

A handwritten signature in black ink, which appears to read "Brian H. Steeves". The signature is written over a horizontal bar that has been redacted with a black box.

A meeting of the Mission Fulfillment Committee of the Board of Regents was held on Thursday, May 7, 2020 at 10:15 a.m. by videoconference.

Regents present: Thomas Anderson presiding; Richard Beeson, Mary Davenport, Kao Ly Ilean Her, Michael Hsu, Mike Kenyanya, Janie Mayeron, David McMillan, Kendall Powell, Darrin Rosha, Randy Simonson, and Steve Sviggum.

Staff present: President Joan Gabel;

detailed in the docket. The docket materials for this item begin on page 27. The closed captioned video of this item is [available here](#).

A motion was made and seconded to approve the promotion and tenure recommendations of regular faculty, the promotion recommendations of contract faculty, and the continuous appointment and promotion recommendations for academic professional staff.

The vote was as follows:

Regent Beeson	Yes
Regent Davenport	Yes
Regent Her	Yes
Regent Hsu	Yes
Regent Kenyanya	Yes
Regent Mayeron	Yes
Regent McMillan	Yes
Regent Powell	Yes
Regent Rosha	Yes
Regent Simonson	Yes
Regent Sviggum	Yes
Regent Anderson	Yes

The committee voted unanimously to approve the promotion and tenure recommendations of regular faculty, the promotion recommendations of contract faculty, and the continuous appointment and promotion recommendations for academic professional staff.

Executive Vice President and Provost Croson presented the Consent Report, as detailed in the docket.

The docket materials for this item begin on page 48. The closed captioned video of this item is [available here](#).

- College of Continuing and Professional Studies (Twin Cities campus)—Create M.P.S. degree in Applied Sciences Leadership
- College of Food, Agriculture and Natural Resource Sciences (Twin Cities campus) —Create M.P.S. degree in Nutritional Sciences
- Carlson School of Management (Twin Cities campus)—Create five post-baccalaureate business-taxation related certificates:
 1. Post-baccalaureate Certificate in Closely-Held Business Taxation
 2. Post-baccalaureate Certificate in High Net-Worth Individual Taxation
 3. Post-baccalaureate Certificate in International Taxation
 4. Post-baccalaureate Certificate in Tax Executive
 5. Post-baccalaureate Certificate in Taxation

of the Exercise Science B.A.Sc to Exercise and Rehabilitation Sciences B.A.Sc and Discontinue all subplans.

- College of Education and Human Service Professions (Duluth campus)—Deliver the undergraduate certificate in Teaching English as a Foreign Language primarily online
 - Swenson College of Science and Engineering (Duluth campus)—Create new subplans in Computational Physics and Business Administration in the B.A. degree in Physics
 - Crookston campus—Discontinue the Writing subplan and create Social Media subplan in the B.S. degree in Communications
 - Crookston campus—Create an online subplan in the Humanities undergraduate minor
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- College of Continuing and Professional Studies (Twin Cities campus)—Discontinue the Manufacturing Operations Management undergraduate minor and certification
 - College of Design (Twin Cities campus)—Discontinue the B.S. degree in Housing Studies
 - College of Liberal Arts (Twin Cities campus)—Discontinue the Classical and Near Eastern Archaeology undergraduate minor

Executive Vice President and Provost Rachel Croson referred the committee to the information items in the docket.

The docket materials for this item begin on page 59. The closed captioned video of this item is [available here](#).

The meeting adjourned at 12:46 p.m.

Regent McMillan invited President Gabel, Senior Vice President Burnett, Vice President Berthelsen, Vice President Gulachek, and Interim Vice President Horstman to outline the preliminary operational impacts caused by the COVID-19 pandemic, as detailed in the docket.

The docket materials for this item begin on page 5. The closed captioned video of this item is [available here](#).

Regent McMillan invited President Gabel, Senior Vice President Burnett, Interim Vice President Horstman, and Associate Vice President Tonneson to present for review the President's recommended FY 2021 Annual Report, as detailed in the docket

begin on page 23. The closed captioned video of this item is

McMillan recessed the meeting at 3:52 p.m.

Regent McMillan reconvened the meeting at 3:58 p.m. and invited President Gabel, Senior Vice President Burnett, and Vice President Berthelsen to present for review the President's recommended FY 2021 Annual Capital Improvement Budget, as detailed in the attached agenda item.

will be funded utilizing O&M funds. Documentation for the basis of supplier selection is included in the docket.

- To Sasaki Associates, Inc., for an estimated \$1,000,000 to conduct master planning services on the Twin Cities campus, as requested by Planning, Space and Real Estate (PSRE), a unit of University Services, for a period of sixteen (16) months. The fee to support this service has been budgeted for the current and next fiscal year. Given this work only happens once every 10-15 years, using non-recurring funds is appropriate. The source of funds is expected to be balances from within University Services. Sasaki Associates, Inc., a new supplier, was selected as the result of a competitive Request for Proposal (RFP) process conducted by Purchasing Services. Eight suppliers responded to the RFP.
- To Unizin, Ltd. for \$1,080,000 for a three-year renewal of membership in this higher education consortium for the Office of Information Technology (OIT) for the period of July 1, 2020 through June 30, 2023. The annual payment of membership fees is currently budgeted and will be funded utilizing OIT's central O&M funds. Documentation for the basis of supplier selection is included in the docket.
- To U.S. Bank for an estimated rebate of \$15,471,960 for purchases on the University Procurement, Travel, and Integrated Payables (Virtual Card) for the Controller's Office for the period of June 1, 2020 through May 31, 2026 with possible contract extensions through May 31, 2030 for an estimated \$10,314,640. Total contract rebate, if all options are exercised, would be \$25,786,600. Purchases made on the Procurement and Travel Card or paid through Integrated Payables (Virtual Card) are paid with the l at rdr,suhen-di0.001 Tcud t

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The meeting adjourned at 5:13 p.m.

A handwritten signature in black ink, which appears to read "Brian R. Stevens". The signature is written in a cursive style and is positioned above a horizontal line that has been redacted with a black bar.

A meeting of the Board of Regents of the University of Minnesota was held on Friday, May 8, 2020 at 9:00 a.m. by videoconference.

Regents present: Kendall Powell, presiding; Thomas Anderson, Richard Beeson, Mary Davenport, Kao Ly Ilean Her, Michael Hsu, Mike Kenyanya, Janie Mayeron, David McMillan, Darrin Rosha, Randy Simonson, and Steven Sviggum.



A motion was made and seconded to approve the following minutes as presented in the docket materials:

Finance & Operations Committee – March 13, 2020
Board of Regents – March 13, 2020
Board of Regents Special Meeting – April 3, 2020
Board of Regents Special Meeting – April 7, 2020
Board of Regents Special Meeting – April 21, 2020

The vote was as follows:

Regent Anderson	Yes
Regent Beeson	Yes
Regent Davenport	Yes
Regent Her	Yes
Regent Hsu	Yes
Regent Kenyanya	Yes
Regent Mayeron	Yes
Regent McMillan	Yes
Regent Rosha	Yes
Regent Simonson	Yes
Regent Sviggum	Yes

The Board voted unanimously to approve the minutes as presented in the docket materials.

The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

President Gabel delivered the report of the President. A copy of the Report of the President is on file in the Board Office.

The docket materials for this item begin on page 22. The closed captioned video of this item is [available here](#).

Regent Powell delivered the report of the Chair. A copy of the Report of the Chair is on file in the Board Office.

The docket materials for this item begin on page 23. The closed captioned video of this item is [available here](#).

Regent Powell noted no reports to receive and file this month.

The docket materials for this item begin on page 31. The closed captioned video of this item is [available here](#).

Regent Powell presented for review and action the Consent Report as described in the docket materials, including:

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Regent Anderson	Yes
Regent Beeson	Yes
Regent Davenport	Yes
Regent Her	Yes
Regent Hsu	Yes
Regent Kenya	Yes
Regent Mayeron	Yes
Regent McMillan	Yes
Regent Rosha	Yes
Regent Simonson	Yes
Regent Sviggum	Yes
Regent Powell	Yes

The Board voted unanimously to approve the Consent Report, excluding the appointments to East Gateway Project Committee.

A motion was made and seconded to approve the appointments to East Gateway Project Committee.

The vote was as follows:

Regent Anderson	Yes
Regent Beeson	Yes
Regent Davenport	Yes
Regent Her	Yes
Regent Hsu	No
Regent Kenya	Yes
Regent Mayeron	Yes
Regent McMillan	Yes
Regent Rosha	Yes
Regent Simonson	Yes
Regent Sviggum	Yes
Regent Powell	Yes

Eleven votes were cast in favor of the motion and one vote was cast against the motion. The motion to approve the appointments to East Gateway Project Committee passed.

Regent Powell invited President Gabel to provide an update on the COVID-19 pandemic, and the University's Service to Minnesota during the pandemic response, as detailed in the docket.

The docket materials for this item begin on page 45. The closed captioned video of this item is [available here](#).

Regent Powell invited President Gabel to present for review the Systemwide Strategic Plan, as detailed in the docket.

The docket materials for this item begin on page 58. The closed captioned video of this item is [available here](#).

Powell recessed the meeting at 11:37 a.m.

The Board voted unanimously to approve the resolution related to Deferment of University of Minnesota Physicians Clinical Revenue Transfers.

Regent Powell invited President Gabel; Mark Coyle, Athletic Director, Twin Cities campus; and Rhonda McFarland, Deputy Athletic Director, Twin Cities campus, to provide an update on the COVID-19 pandemic and its preliminary impacts on Athletics.

The docket materials for this item begin on page 102. The closed captioned video of this item is [available here](#).

Regent Rosha, chair of the committee, reported that the committee did not take action on any items this month.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

Regent Anderson, chair of the committee, reported that the committee voted to recommend the following two items.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

- 1) Approval of the promotion and tenure, and annual continuous appointments. The committee docket materials for this item can be found on page 27.

A motion was made, and the vote was as follows:

Regent Anderson	Yes
Regent Beeson	Yes
Regent Davenport	Yes
Regent Her	Yes
Regent Hsu	Yes
Regent Kenyanya	Yes
Regent Mayeron	Yes
Regent McMillan	Yes
Regent Rosha	Yes
Regent Simonson	Yes
Regent Sviggum	Yes
Regent Powell	Yes

The Board voted unanimously to approve the promotion and tenure, and annual continuous appointments.

- 2) Approval of the Consent Report for the Mission Fulfillment Committee as presented to the committee and described in the May 7, 2020 committee minutes. The committee docket materials for th

The Board voted unanimously to approve the revised Consent Report.

Regent Anderson left the meeting.

McMillan reported that the committee reviewed but did not come to a recommendation regarding the Principles for Allocating Federal CARES Act Funds for Student Assistance. The committee docket materials for this item can be found on page 214.

Regent Powell called for a motion to approve the Principles for Allocating Federal CARES Act Funds for Student Assistance.

A motion was made and seconded, and the vote was as follows:

Regent Anderson	Absent
Regent Beeson	Yes
Regent Davenport	Yes
Regent Her	Yes
Regent Hsu	Yes
Regent Kenya	Yes
Regent Mayeron	Yes
Regent McMillan	Yes
Regent Rosha	Yes
Regent Simonson	Yes
Regent Sviggum	Yes
Regent Powell	Yes

The Board voted unanimously to approve the Principles for Allocating Federal CARES Act Funds for Student Assistance.

Regent Hsu moved a resolution related to support of emergency college athlete public health COVID-19 relief,

WHEREAS, eliminating barriers to basic necessities for Minnesota students is a vital interest of the University of Minnesota.

NOW, THEREFORE, BE IT RESOLVED that the Board